

368/3

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 11017-0006
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In Re Application Of: **BARBOSA**

**APR 22 2003**

*PM # 5/8/03*

Serial No. <b>09/986,956</b>	Filing Date <b>NOVEMBER 13, 2001</b>	Examiner <b>NGUYEN, XUAN LAN T</b>	Group Art Unit <b>6385</b>
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Title: **DUAL LEADING-SHOE DRUM BRAKE**

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**APR 24 2003**  
**GROUP 3000**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11017-0006

In Re Application:

BARBOSE

APR 22 2003

Serial No.

09/986,956

Filing Date

NOVEMBER 13, 2001

Examiner

NGUYEN, XUAN

Group Art Unit

6385

DUAL LEADING-SHOE DRUM BRAKE

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APR 24 2003  
**GROUP 3600****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1088 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature

Dated: APRIL 22, 2003

CONRAD J. CLARK, REG. NO. 30,340

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WASHINGTON, DC 20006

PHONE: 202-835-1111

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CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
11017-0006

In Re Application Of: **BARBOSA**

**APR 22 2003**

Serial No.  
09/986,956

Filing Date  
NOVEMBER 13, 2001

Examiner  
NGUYEN, XUAN LAN T

Group Art Unit  
3683

Invention: **DUAL LEADING-SHOE DRUM BRAKE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated: **APRIL 22, 2003**

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